# Reward Breaks Through Center-Surround Inhibition via Anterior Insula

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Abstract: Focusing attention on a target creates a center-surround inhibition such that distractors located close to the target do not capture attention. Recent research showed that a distractor can break through this surround inhibition when associated with reward. However, the brain basis for this reward-based attention is unclear. In this fMRI study, we presented a distractor associated with high or low reward at different distances from the target. Behaviorally the low-reward distractor captured attention only when located near the target. Neural activity in extrastriate cortex mirrored the behavioral pattern. A comparison between the high-reward and the low-reward distractors located near the target (i.e., reward-based attention) and a comparison between the high-reward distractors located near and far from the target (i.e., spatial attention) revealed a common frontoparietal network, including inferior frontal gyrus and inferior parietal sulcus as well as the visual cortex. Reward-based attention specifically activated the anterior insula (AI). Dynamic causal modelling showed that reward modulated the connectivity from AI to the frontoparietal network but not the connectivity from the frontoparietal network to the visual cortex. Across participants, the reward-based attentional effect could be predicted both by the activity in AI and by the changes of spontaneous functional

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connectivity between AI and ventral striatum before and after reward association. These results suggest that AI encodes reward-based salience and projects it to the stimulus-driven attentional network, which enables the reward-associated distractor to break through the surround inhibition in the visual cortex. *Hum Brain Mapp* 36:5233–5251, 2015. © 2015 Wiley Periodicals, Inc.

Key words: reward; stimulus-driven attention; reward-based attention; anterior insula; fMRI; restingstate fMRI; dynamic causal modeling

# INTRODUCTION

It is commonly assumed that selective attention can be controlled in two different ways: a top-down way when it is guided by inner goals or expectations and a bottom-up way when salient stimuli determine selection [Corbetta and Shulman, 2002]. It is thought that two partially segregated neural networks are involved in these two modes of selection: a dorsal network, which consists of frontal eye field and intraparietal sulcus (IPS), controls goal-directed attention and a ventral network, which consists of inferior frontal cortex and right temporoparietal junction (TPJ), controls stimulus-driven attention [Corbetta and Shulman, 2002; Corbetta et al., 2000; Downar et al., 2000].

A specific form of stimulus-driven attention is attentional capture, where a task-irrelevant distractor involuntarily attracts attention and interferes with target processing [Yantis and Jonides, 1984]. A distractor can capture attention when it bears high perceptual salience [Theeuwes, 1991, 1992] or shares features with the target [Folk et al, 1992]. Recently, it is shown that a physically nonsalient stimulus can also capture attention after it has been associated with reward, demonstrating reward-based attentional capture [Anderson et al., 2011; Hickey et al, 2010; Wang et al., 2013]. Moreover, this attentional capture induced by reward-associated stimulus is persistent even when the stimulus no longer predicts any actual reward, i.e., in a no-reward context [Anderson and Yantis, 2013]. Although behaviorally, reward-associated stimulus in the no-reward context captures attention in a similar way as the stimulus-driven attention, it is unclear whether they rely on the same neural substrates. One possibility is that reward-based attention is controlled by reward-related brain structures even when actual reward is no longer paired with the stimulus [Anderson et al., 2014]. The reward-related brain structures, such as the ventral striatum (VS) and the ventromedial prefrontal cortex [Haber and Knutson, 2010], do not belong to either the goaldirected or the stimulus-driven attention system. Alternatively, reward may increase the salience of the stimulus [Berridge and Robinson, 1998; Hickey et al., 2010], which activates the stimulus-driven attention system when the stimulus is encountered. In this fMRI study, we aimed to address this issue by investigating at the neural level how reward-associated distractor breaks through a region of inhibition that typically surrounds the target when attention is focused on the target [Cutzu and Tsotsos, 2003; Mounts, 2000a].

When focal attention is directed to a target, an inhibitory region around the target is built up, preventing the distraction from the surround. In a recent study, we demonstrated that a distractor associated with reward can break through this surround inhibition [Wang et al., 2014]. Specifically, we adopted a reward-learning paradigm in which high or low reward was associated with a specific target color during a learning phase. In a subsequent test phase, the reward-associated color was presented as one of the task-irrelevant distractors [cf. Anderson et al., 2011]. More importantly, in the test phase, the high- or low-reward distractor was located at different distances from the target [0.9/2.1/3.3/4.5° in visual angle, Experiment 1 in Wang et al., 2014]. The low-reward distractor interfered with the discrimination of the target when located adjacent to the target (0.9°) but not when located relatively far from the target (2.1 - 4.5°), whereas the high-reward distractor caused interference in both near and relatively far locations (0.9° and 2.1°) [Wang et al., 2014]. According to Mounts [2000b], the power of surround inhibition is determined by stimulus intensity, and the strongest inhibition appears at 1.54° from the attended stimulus. In our previous study [Wang et al., 2014], the most adjacent distractor  $(0.9^{\circ})$  may fall outside the inhibitory region, causing interference in both reward conditions. In this study, we increased the distance  $(1.6/2.8/4.0^{\circ})$  between the target and the reward-associated distractor. We predicted that the low-reward distractor in none of the three distances could cause interference whereas the highreward distractor caused interference only when it was presented near the target (1.6°), not when it was far from the target  $(2.8/4.0^{\circ})$ .

The prefrontal cortex and the lateral intraparietal area, the primate homolog of human IPS, have been consistently implicated in reward processing [Leon and Shadlen, 1999; Platt and Glimcher, 1999]. However, it is possible that the activity in these areas reflects attention orienting to, rather than the neural coding of, reward information [Maunsell, 2004]. The current paradigm allowed us to dissociate these two processes. We reasoned that the comparison between high- and low-reward distractors in the near distance reveals the neural basis of reward-based attention and the comparison between the near and far distances for the high-reward distractor mainly reveals the neural basis of stimulus-driven attentional orienting to the rewardassociated distractor. While the stimulus-driven network would be commonly involved in the two comparisons, the areas reflecting the source of reward-based salience would only be involved in the former comparison.

The anterior insula (AI) is proposed to be a key node for representing subjective salience, responding to various salient signals irrespective of the modality and the valence of the input signal [Uddin, 2015]. We thus hypothesized that, relative to the low-reward distractor, the high-reward distractor will acquire higher salience after the learning phase, and in turn induce stronger activity in AI in the test phase. Moreover, by using dynamic causal modeling (DCM), we were able to investigate the interplay between the reward-based salience network (AI) and the attentional orienting network.

# MATERIALS AND METHODS

#### **Participants**

Fourteen right-handed university students participated in the behavioral experiment (3 females, mean age 23.4 years) and another 19 in the fMRI experiment. Two participants of the fMRI experiment were excluded from data analysis due to excessive head movement (>3 mm), leaving 17 participants (4 females, mean age 21.5 years). They all had normal or corrected-to-normal visual acuity. All the participants gave informed written consent in accordance with the Declaration of Helsinki. This study was approved by the Ethics Committee of the Department of Psychology, Peking University.

#### **Design and Procedures**

The two experiments had the same design. Each experiment included a learning phase and a test phase in two successive days. In both phases, 16 items (each measured  $1.2^{\circ} \times 1.2^{\circ}$  in visual angle) were presented at the center of a light gray (gray scale: 204) screen. These items were located on an imaginary circle (7° radius) around the central fixation (a black cross,  $0.5^{\circ} \times 0.5^{\circ}$ ), with equal intervals (1.6°) between each two adjacent items.

In the learning phase, the target was a red or blue circle among the other 15 black circles (Fig. 1A). The target circle appeared randomly at the seven locations in the lower visual field. A black line segment was presented in each of the circles, which was horizontal or vertical in the target circle and titled 45° to the left or the right in the distractor circles. Participants were asked to discriminate the orientation of the line segment in the target circle on each trial by pressing a response button with their index or middle finger. One target color was associated with high reward while the other with low reward, with the assignment counterbalanced over participants. The association of target color with monetary reward was established by presenting a feedback frame indicating the points a participant earned in that trial and the total points accumulated thus far. For a high-reward target, a correct response was followed by "+10" in the subsequent feedback frame on 80% of the trials, denoting the receipt of 10 points, and was followed by "+1" on the remaining 20% of the trials, denoting the receipt of 1 point. For a lowreward target, the percentages were reversed. Incorrect responses resulted in "0" and omissions were followed by a word "miss" in the feedback frame. Participants were informed of the conversion rate of the points (one point equaled to ¥0.02, ¥100  $\approx$  US \$16) and were told that the points accumulated during the learning phase would be proportionally exchanged to the final monetary reward and added to their basic payment (¥20 for the behavioral experiment, ¥100 for the fMRI experiment) for taking part in the experiment.

In the test phase, the target was a black diamond among the other 15 distractor circles. The target diamond was always located at the bottom location of the imaginary circle to facilitate the formation of the inhibition region [Wang et al., 2014]. Each trial had a critical distractor whose color was either associated with high reward or low reward in the learning phase. This critical distractor was located at one of the three possible locations left or right to the target location, with 0, 1, or 2 intervening distractors  $(1.6/2.8/4.0^{\circ})$  between it (the critical distractor) and the target (Fig. 1B). Therefore, the test phase was a  $2 \times 3$  factorial design: distractor type (high- vs. lowreward) and colored distractor location (1 vs. 2 vs. 3). We did not include a no-distractor condition in the current design. This was to ensure that the initial attention was on the target, which is necessary for surround inhibition [Cutzu and Tsotsos, 2003; Wang et al., 2014]. Specifically, if a no-distractor condition is included, whether a particular trial has a singleton distractor will be uncertain. It is thus highly possible that the "abrupt onset" of the distractor, rather than the target, will attract attention first [Yantis and Jonides, 1984, 1990], preventing the buildup of the inhibitory region around the target. For this reasontor, (by)-43361targetme



Figure 1. The paradigm and behavioral results of the two experiments.phase.th4dysam phaseTJT5.5(an145.1,behavi(1.1(runerimec(i1ribucl(wer543

1000 ms interval of blank screen, the feedback frame was presented and remained on the screen for 1000 ms. The duration of the inter-trial interval (ITI) was fixed at 1500 ms for the behavioral experiment and was jittered from 1500 to 2500 ms (1500, 2000, and 2500 ms) for the fMRI experiment even though this jittering procedure had no use for our main fMRI data analysis. In the test phase, the task frame was presented for 600 ms after the fixation. There was no feedback following participants' response. The ITI was fixed 2000 ms for the behavioral experiment and was jittered from 3000 to 4000 ms (3000, 3250, 3500, 3750, and 4000 ms) for the fMRI experiment. We did not control the eye movements here because in our previous study we observed similar results, that is, the "breakthrough" effect in the high-reward vs. low-reward condition, irrespective of whether eye fixation was controlled [Wang et al., 2014]. These results suggested that

eye movements could not be the main cause of the observed "breakthrough" effect.

There were 360 trials for each of the two targets in the learning phase and 60 trials for each condition in the test phase. For the behavioral experiment, trials were divided into 12 blocks of equal length in the learning phase and 6 blocks in the test phase. For the fMRI experiment, trials were divided into three separate scanning runs of equal length in the learning phase and 6 runs in the test phase. Trials of different conditions were equally distributed in each block (run) and were presented in a pseudorandomized order, with the restriction that no more than three consecutive trials required the same responses. Participants were instructed to respond as quickly and accurately as possible to maximize their income. Trials with response latency longer than 800 ms in both phases were identified as omissions to encourage performance. Twenty



#### Figure 2.

Stimuli and neural results from the localizer task. A: Seven circles flickered in the lower visual field. They were located at the same locations as the target and distractors in the test phase. The task was to detect a color change of the vertical bar of the central fixation. **B**: Clusters activated in the localizer task (stimulus-on block vs. stimulus-off block). Statistical parametric

map is shown at the threshold of P < 0.05, FWE-corrected at voxel level. **C**: Parameters estimates extracted from a peak voxel in the left and the right extrastriate cortex are shown as the function of the six experimental conditions of the test phase. [Color figure can be viewed in the online issue, which is available at wileyonlinelibrary.com.]

practice trials in which the monetary feedback was replaced by response feedback (correct vs. incorrect) were provided prior to each of the two phases.

For the fMRI experiment, we included a localizer task in a separate scanning session after the test phase to identify each participant's task-relevant visual areas. Seven circles with line segment inside were presented below the fixation cross (Fig. 2A). The circles had the same size and were located at the exact positions as the target and critical distractor in the test phase. The circles concurrently flickered with a frequency of 1 Hz in a 10-s block (stimulus-on block) and lasted for 500 ms each time (500-ms on and 500-ms off).

The whole scanning session included 6 stimulus-on blocks alternating with 6 stimulus-off blocks during which only the fixation cross was presented. In each block, the color of the vertical bar of the fixation cross changed from black to red or green at a randomly selected time point and changed back to black after 500 ms. Participants were asked to discriminate the color of the vertical bar once the color change was detected.

# **Data Acquisition and Preprocessing**

For each participant, imaging data were obtained in two successive days. T2\*-weighted echo-planar images (EPI) with blood oxygenation level-dependent (BOLD) contrast was obtained with a research-dedicated GE MR750 3T scanner (General Electric, Fairfield, Connecticut). Forty transversal slices of 3.1 mm thickness that covered the whole brain were acquired in an interleaved order (repetition time: 2000 ms, echo time: 30 ms, field of view:  $200 \times 200 \text{ mm}^2$ , in-plane resolution:  $3.1 \times 3.1 \text{ mm}^2$ , flip angle:  $90^\circ$ ).

In the first day, the scanning consisted of two restingstate sessions and a task session of the learning phase. The two separate runs of resting-state data, which contained 200 EPI volumes each, were acquired 3 min before (prelearning resting-state data) and 3 min after (post-learning resting-state data) the learning phase, respectively. Spontaneous brain activity recorded in resting-state fMRI has been demonstrated to be an effective predicator of attentional performances [Carter et al., 2010; Shulman et al., 2009]. In this study, the resting-state sessions were used to explore whether the learning-induced changes of spontaneous brain activity could predict the attentional effect in the later test phase. During the resting-state scanning, participants were asked to close their eyes and keep still, and not to think about anything systematically or fall asleep for 7 min. In the second day, the scanning consisted of a session of the test phase with the main task and a session of the localizer task.

### Task fMRI data

Data for the main task in the test phase were preprocessed with Statistical Parametric Mapping software SPM8 (Wellcome Trust Department of Cognitive Neurology, London, UK). For each run, the first five volumes were discarded to allow for T1 equilibration effects. Preprocessing was done with SPM8 default settings. Images from each run were slice time corrected and motion corrected. Different brain tissues (gray matter, white matter, and cerebrospinal signals) were segmented following the standard procedures implemented in SPM8 and were transformed into standard MNI space and resampled to 3 imes 3 imes3 mm<sup>3</sup> isotropic voxel. The data were then smoothed with a Gaussian kernel of 6 mm full-width half-maximum (FWHM) to accommodate inter-subject anatomical variability.

# Resting-state fMRI data

Similar preprocessing procedures were carried out for the resting-state data in the learning phase using SPM8 and Data Processing Assistant for Resting-State fMRI (DPARSF) [Yan and Zang, 2010]. For each of the two sessions, the first five volumes were discarded to allow for T1 equilibration effects. The remaining images were then slice time corrected, motion corrected, and spatially normalized into standard MNI space and resampled to 3 imes 3 imes3 mm<sup>3</sup> isotropic voxel. After a linear trend of the time courses was removed, the band-pass filter (0.01-0.1 Hz) was applied to remove low-frequency drifts and highfrequency noise. White matter and cerebrospinal signal were extracted using SPM's priori masks implemented in DPARSF. The head motion parameters, mean global signal, white matter signal, and cerebrospinal fluid signal were regressed out.



# **Statistical Analysis of Behavioral Data**

For each experimental condition in the test phrase, omissions, incorrect responses, and trials with  $RTs \pm 3$  SDs beyond the mean RT for all the correct trials were first excluded. Mean RT of the remaining trials (95.1% of all the trials in the behavioral experiment and 90.0% in the fMRI experiment) in each condition was then computed. The error rate in each condition was calculated as the proportion of the number of omissions (including the trials in which RT was higher than 800 ms) and incorrect trials against the total number of trials in the condition (Table I).

We used  $\alpha = 0.05$  as the threshold for statistical significance. However, we observed a few effects that fell between 0.05 < P < 0.1. We chose to report these effects anyway because we believed that they may goad future studies to offer more convincing evidence. To be sure, we limited our reliance on these "marginally significant" effects when making inferences.

#### **Statistical Analysis of Imaging Data**

#### Whole-brain analysis

The whole brain analysis focused on the main task in the test phase. Data were high pass-filtered with a cutoff period of 128 s and corrected for serial correlation. We specified a general linear model (GLM) to construct a multiple regression design matrix, with each of the six experimental conditions being modeled as separate regressors: high-reward distractor at location 1 (H1), high-reward distractor at location 2 (H2), high-reward distractor at location 3 (H3), low-reward distractor at location 1 (L1). lowreward distractor at location 2 (L2), and low-reward distractor at location 3 (L3). The six event types were timelocked to the onset of the task frame, and modeled by a pulse function convolved with a canonical synthetic hemodynamic response function and its time derivatives. To control for any potential confounding effect caused by button press, a parametric modulation regressor of the meancorrected RT (mean RT in a specific trial minus mean RT averaged across all trials in an experimental condition)

TABLE II. Brain areas revealed in the test phase

		BA	co	MNI ordina	tes		Cluster size
Region	Hemisphere		x	y	Z	t value	
"H1>Mean	(H2 + H3)"						
IPS	L	7	-27	-67	46	6.01	514
LOC	L	37	-45	-64	-11	4.86	92
IFG (Tri.)	R	45	48	29	19	4.34	57
MOG	L	19	-36	-85	16	4.11	48
MFG	R	6	30	8	49	4.00	91
IFG	L	44	-51	11	31	3.94	213
IPS	R	19	30	-61	34	3.69	177
IFG (Oper.)	R	44	48	11	22	3.65	48
"H1>L1"							
LOC	L	37	-48	-64	-11	6.56	225
IPS	L	40	-36	-46	55	5.45	284
MOG	L	19	-33	-82	19	4.50	112
LOC	R	20	48	-43	-26	4.13	52
SMA	L	32	-9	14	43	4.02	51
PI	L	48	-36	-22	10	4.01	161
IFG	L	44	-51	11	34	3.98	283
AI	R	47	27	23	-14	3.75	107
AI	L	48	-27	20	-5	3.64	41
IPS	R	7	27	-46	43	3.58	58
"H1>Mean	(H2 + H3)" ∩	"H1	>L1"				
IPS	L	40	-39	-43	55	4.93	290
LOC	L	37	-45	-64	-11	4.86	78
MOG	L	19	-33	-88	16	3.90	39
IFG	L	44	-51	11	34	3.56	98
"H1 > H2" n	nasked by "H	1 > M	ean (F	12 + H	[3)"		
LOC	L	19	-39	-82	-8	4.53	97
LOC	R	41	48	-43	26	4.13	52
SMA	L	32	-9	14	43	4.02	50
PI	L	48	-36	-22	10	4.01	160
PCG	L	6	-54	-4	43	3.98	174
MOG	L	18	-27	-88	19	3.90	50
AI	R	48	27	17	-14	3.86	67
AI	L	48	-27	20	-5	3.64	41
"Mean (H2 -	+ H3) > H1"	10	~ ·	~ ~ ~	0	0101	
PCC	L/R	23	0	-46	19	4.31	156
Precuneous	R	7	3	-76	37	4.29	106
"L1 > H1"		•	5	. 5	01		100
Precuneous	R	23	3	-40	28	3.49	52

Notes: AI: anterior insula; BA: Brodmann's area; IFG: inferior frontal gyrus, IPS: inferior parietal sulcus; LOC: lateral occipital cortex; MFG: middle frontal gyrus; MOG: middle occipital gyrus; Oper.: opercularis; PCC: posterior cingulate cortex; PCG: postcentral gyrus; PI: posterior insula; SMA: supplementary motor area; Tri.: triangularis.

was included for each experimental condition. The six head movement parameters derived from the realignment procedure were also included. The 6 first-level individual contrast images corresponding to the six conditions were fed to a  $2 \times 3$  within-subject ANOVA at the second group level by employing a random-effects model (i.e., the flexible factorial design in SPM8 including an additional factor modeling the subject means). In the modeling of variance components, we allowed for violations of sphericity by modelling nonindependence across parameter estimates from the same participant and allowed for unequal variances between conditions and between participants using the standard implementation in SPM8. We defined two contrasts: "H1 > L1" and "H1 > Mean (H2 + H3)." The former contrast reflected the neural correlates of reward-based attentional capture and the latter contrast revealed the neural correlates of stimulus-driven attentional capture. To further investigate the brain-behavior correlation, a planned *t* contrast "H1 > L1" was computed with the behavioral interference effect (i.e., RTs at H1 minus RTs at L1) as covariate.

The AFNI program AlphaSim was used to determine our significance criterion. The smoothness was estimated using 6 mm 3dFWHM. Areas of activation were identified as significant only if they passed the threshold of P < 0.005family wise (FWE)-corrected at the cluster level, which required 35 contiguous voxels, each voxel significant at P < 0.005 uncorrected (unless otherwise stated) [cf., Lieberman and Cunningham, 2009].

#### Region of interest (ROI) analysis

Although reward effects have recently been observed over the entire visual cortex [Arsenault et al., 2013], models of visual attention indicates that surround inhibition is formed to suppress the competing neural representations of the distracting objects mainly in the extrastriate cortex [Desimone and Duncan, 1995; Luck et al., 1997]. Evidence from magnetoencephalography studies also showed that the surround inhibition emerges in early to intermediate areas of visual cortex [Boehler et al., 2009; Hopf et al., 2006]. We thus expected that the surround inhibition in the extrastriate cortex should be alleviated for high-reward distractors as compared with low-reward distractors. We first identified early visual areas by analyzing the localizer data. Specifically, we defined the contrast corresponding to the effect of "stimuluson" block relative to baseline (i.e., "stimulus-off" block). Given that the localizer contrast revealed the whole visual cortex at the abovementioned threshold, we used instead a more stringent statistical criterion of P < 0.05 FWE-corrected at voxel level. Areas survived this criterion were mostly located in the extrastriatal cortex (BA19, Fig. 2B). Then we specified another GLM for the test phase, which is similar to the GLM for the whole-brain analysis except that each of the six conditions was divided into two regressors, depending on the visual field (left vs. right) in which the distractor was presented. Parameter estimates corresponding to the six conditions in the right visual field and the left visual field were respectively extracted from a peak voxel in the left and the right extrastriatal cortex across all participants (left: x = -36, y = -88, z = 16; right: x = 39, y = -76, z = -14). To test whether there was asymmetry of reward effects on the left and the right visual cortex, a 2 (Hemisphere: left vs. right) imes2 (Reward: high vs. low)  $\times$  3 (Location: 1, 2 vs. 3) ANOVA was carried out for these parameter estimates.



#### Dynamic causal modeling

Different models of functional architecture and effective connectivity were compared using DCM10 implemented in SPM8. Here we used bilinear DCM, which consists of three different sets of parameters [Friston et al., 2003]: (1) the "intrinsic" connectivity represents the latent connectivity between brain regions irrespective of experimental conditions; (2) the "modulatory" connectivity represents the influence of experimental conditions on the intrinsic connectivity; and (3) "input" represents the driving influence on brain regions by the experimental conditions. We extracted activation time courses (eigenvariate) from the left AI, the left inferior frontal gyrus (IFG), the left IPS and the left lateral occipital cortex (LOC) in each participant from a 3 mm sphere centered on the group peak coordinates revealed by the contrast "H1>L1" (see "Results"). The connectivity between IFG/IPS and the visual cortex (LOC in this study) was constructed based on previous models of the stimulus-driven attentional network [Vossel et al., 2012]. The intrinsic connectivity between AI and IFG/IPS was also specified because of AI's involvement in reward-based attention and its correlation with the behavioral interference effect (see "Results"). Given the important role of AI in representing stimulus salience [Uddin, 2015], we hypothesized that the reward-based salience is represented in AI and then projected to the frontoparietal network (IFG and IPS), which in turn modulates the neural activity in LOC. Based on this hypothesis, we constructed 8 model families characterized by three independent factors: the presence or absence of the intrinsic connectivity from LOC to AI, the direction (i.e., bilateral or unilateral) of intrinsic connectivity between AI and the frontoparietal network, and the direction (i.e., bilateral or unilateral) of intrinsic connectivity between the frontoparietal network and LOC (Fig. 4A). AI received the salience input (the reward-based salience, H1 vs. L1) in all the model families, whereas LOC received the visual input in the model families in which it had influence on other areas. Each model family contained nine single models that share the same driving input and intrinsic connectiv-

TABLE III. The structures of the modulatory connectivity in DCM

Models	1	2	3	4	5	6	7	8	9
AI – IFG	1	1	0	0	0	0	1	1	0
AI – IPS	1	1	0	1	1	0	0	0	0
IFG - LOC	1	0	1	0	0	0	1	0	1
IPS - LOC	1	0	1	1	0	1	0	0	0

*Notes*: AI: anteriobr insula; IFG: inferior frontal gyrus; IPS: intraparietal sulcus; LOC: lateral occipital cortex; 1: presence, 0: absence.

ities, but differed in the structure of the modulatory connectivity exerted by reward. Table III summarized the structure of the modulatory connectivity in the nine models. To test our hypothesis concerning reward-based attention, only H1 and L1 were included in the modeling. It should be noted that for families containing bilateral intrinsic connectivity, the H1 and L1 conditions were specified to modulate on both directions.

These models and model families were then compared using the Bayesian Model Selection (BMS), which uses a Bayesian framework to compute the "model evidence" of each model, representing the trade-off between model simplicity and model fitness [Penny et al., 2004]. Here, BMS was implemented using random-effect analysis (i.e., assuming that the model structure may vary across participants) that is robust to the presence of outliers [Stephan et al., 2009]. Based on the estimated model evidence for each model, random effect BMS calculates the exceedance probability, that is, the probability of each model being more likely than any other model. When comparing model families, all models within a family were averaged using Bayesian Model Averaging, and the exceedance probabilities were calculated for each model family [Penny et al., 2010]. Model parameters were estimated based on the averaging of the winning family and were tested with one-sample *t* tests.

#### Figure 3.

Results of the whole brain analysis for the test phase. **A**: Blue: the activations revealed by the contrast "HI > Mean (H2 + H3)". Red: the activations revealed by the contrast "HI > LI." Purple: the common activated regions of the two networks. Green: the brain activations revealed by the contrast "HI > LI" exclusively masked by the contrast "HI > LI" exclusively masked by the contrast "HI > Mean (H2 + H3)". Statistical parametric map was shown at the threshold of P < 0.005 FWE-corrected at cluster level, P < 0.005 uncorrected at voxel level (H1: high-reward distractor, location 1; H2: high-reward distractor, location 2; H3: high-reward distractor, location 1). **B**: Al was activated by the contrast "HI > LI" when the RT difference between H1 and L1 conditions were included as covariates

(middle panel). Parameter estimates were extracted from the two clusters. Scatter plots (with best-fitting regression lines) illustrates the difference of the parameter estimates between HI and LI conditions as a function of the RT difference (left and right panels). In the right panel, the correlation was still significant after the outlier (the bottom left dot) is excluded from the data ( $R^2 = 0.59$ ). Thus, we keep all the data points in the plot. Note that the bottom left dot in the right panel was identified as the only outlier because the activity strength (the value of parameter estimates) of this dot in the right Al was beyond -3SD of the group mean. No outlier was found in the left panel.



### Figure 4.

The dynamic causal modeling (DCM) analysis for AI and the fronto-parietal network. **A**: The structure of 8 model families (left hemisphere). These model families differed in terms of the presence or absence of the intrinsic connectivity from LOC to AI, the direction of the intrinsic connectivity between AI and IFG/IPS, the direction of the intrinsic connectivity between IFG/ IPS and LOC, and the input. Each model family contained nine models, which differed in the specific pathway(s) that modulated

by reward (H1 vs. L1, see Table III). **B**: The exceedance probabilities of the eight model families (left panel) and the single models (right panel). The single models from the eight model families were ordered in consistence with Table III. **C**: The estimated DCM parameters of the average model of the winning family (\* P < 0.05, \*\* P < 0.01, # P = 0.051). [Color figure can be viewed in the online issue, which is available at wileyonlinelibrary.com.]

#### Neural Mechanism of Reward-Based Attention +

#### **Resting-state analysis**

According to our hypothesis, the salience of the rewardassociated stimulus was increased after learning. However, given the lack of behavioral differences between high- and low-reward targets in the learning phase, it was not clear when the reward-based salience was acquired. To overcome this deficit, we took advantage of the resting-state data and examined whether the functional connectivity (FC) in the post-learning session could predict participants' interference effect in the test phase. A recent study suggested that the VS, a subcortical area that represents reward value [Sescousse et al., 2010], interacted with the AI in directing attention to reward-related stimulus [Rothkirch et al., 2014]. We hence expected that the behavioral interference effect could be predicted by FC between VS and AI. The FC analysis was carried out using the Resting-State fMRI Data Analysis Toolkit (REST; http:// www.restfmri.net/) [Song et al., 2011]. The coordinates of the bilateral AI were based on the results of whole-brain analysis, and the coordinates of the bilateral VS (left: x = -12, y = 9, z = -9; right: x = 9, y = 6, z = -9) were based on a previous study of reward processing [Sescousse et al., 2010]. We created spherical seeds centered on the coordinates of the ROIs with a radius of 6 mm. To produce a participant-level FC map, we computed the mean time series across all voxels in these areas and performed correlation analysis between the AI and the VS for each participant. These FC maps were then converted to z-FC maps by conducting Fisher *z* score transformations. These analyses were performed for both the pre-learning and the post-learning data. Next, we performed correlation analysis between the Fisher z scores and participants' interference effect for both the pre-learning and the post-learning sessions. Partial correlation was also performed after the Fisher z scores in the pre-learning session had been controlled. We further calculated the change of FC after learning by subtracting the Fisher z scores in the pre-learning session from the scores in the post-learning session and examined correlation between the change of FC and the behavioral interference effect.

# RESULTS

#### **Behavioral Data**

Given that neither error rates nor RTs in the learning phase showed significant differences between experimental conditions, the following report focuses on RTs and error rates in the test phase (Table I).

For the behavioral experiment, repeated-measures analysis of variance (ANOVA) on RTs showed a main effect of location, *F* (2, 26) = 11.20, *P* < 0.001,  $\eta_p^2$  = 0.463, and an interaction between distractor type and location, *F* (2, 26) = 4.63, *P* < 0.05,  $\eta_p^2$  = 0.263, but no main effect of distractor type, *F* < 1. Separate ANOVAs on the location effect were carried out for the low-reward and high-reward distractors, respectively. For the low-reward distractor, RTs at the three locations (L1: 484 ms, L2: 483 ms, L3: 480 ms) did not differ from each other, F < 1. For the high-reward distractor, there was a significant location effect, F (2, 26) = 11.74, P < 0.001,  $\eta_p^2 = 0.474$ . Further pairwise comparisons with Bonferroni correction showed that RTs at H1 (494 ms) was longer than RTs at H2 (478 ms) and H3 (474 ms), with no difference between the latter two: H1 vs. H2, P < 0.05, 95% confidence interval (CI) [3.5, 28.8]; H1 vs. H3, P < 0.01, 95% CI [6.9, 33.5]; H2 vs. H3, P > 0.1, 95% CI [-6.1, 14.2]. The interaction between distractor type and location was also examined from the other direction. Paired t tests were carried out for the reward effects at each of the three locations. The results showed that RTs at H1 was longer than RTs at L1, t (13) = 2.14, P = 0.052, 95% CI [-0.1, 20.4], whereas RTs at H2 did not differed from RTs at L2, t (13) = 1.16, P > 0.1, 95% CI [-13.7, 4.2], and RTs at H3 was shorter than RTs at L3, t (13) = 2.52, P < 0.05, 95% CI [-11.6, 0.9] (Fig. 1C, left panel). When the H3 and L3 conditions were excluded, the 2 (Reward: high vs. low)  $\times$  2 (Location: 1 vs. 2) ANOVA still showed an interaction between reward type and location, F (1, 13) = 4.72, P < 0.05,  $\eta_v^2 = 0.266$ , indicating that the interaction between reward and location was driven at least partly by the delayed RTs at H1 relative to L1. The ANOVA on error rates showed only a trend of interaction between reward type and location, F (2, 26) = 2.73, P = 0.084,  $\eta_v^2 = 0.174$ . For the low-reward distractor, there was a marginally significant effect of location, F (2, 26) = 2.61, P = 0.092,  $\eta_p^2 = 0.167$ . Further pairwise comparisons with Bonferroni correction showed that the differences between error rates for the low-reward distractor at the three locations (L1: 2.9%, L2: 4.8%, L3: 5.2%) did not differ from each other, all P > 0.1, but there was a linear increasing trend from L1 to L2 and to L3, F(1, 13) = 4.76, P < 0.05,  $\eta_p^2 = 0.268$ . For the high-reward distractor, there was no significant location effect (H1: 5.5%, H2: 3.8%, H3: 3.8%), F (2, 26) = 1.21, P > 0.1. For the reward effect, error rates at H1 was higher than error rates at L1, t(13) = 2.71, P < 0.05, 95% CI [0.6, 5.1], whereas there was no difference between the error rates at H2 and at L2 and between the error rates at H3 and at L3, both P > 0.1, 95% CI [-3.3, 2.6] and [-3.8, 0.7], respectively.

Similar results were obtained in the fMRI experiment. The ANOVA on RTs showed only an interaction between distractor type and location, *F* (2, 32) = 3.99, *P* < 0.05,  $\eta_p^2$  = 0.200, but no main effect of distractor type, *F* < 1, or location, *F* (2, 32) = 2.45, *P* > 0.1. Separate ANOVAs on the location effect showed that there was no significant effect for the low-reward distractor (L1: 506 ms, L2: 508 ms, L3: 505 ms), *F* (2, 32) = 1.28, *P* > 0.1, but an effect for the high-reward distractor, *F* (2, 32) = 3.94, *P* < 0.05,  $\eta_p^2$  = 0.198. Further pairwise comparison with Bonferroni correction showed that RTs at H1 (513 ms) was longer than RTs at H2 (506 ms), *P* = 0.069, 95% CI [-0.4, 14.1], and longer than RTs at H3 (504 ms), *P* = 0.068, 95% CI [0.5, 17.0], with



Figure 5.

The DCM analysis for AI and VS. **A**: The structure of 12 models with different intrinsic connectivities and modulatory connectivities. **B**: The exceedance probabilities of the 12 models in the left (left panel) and the right (right panel) hemisphere. [Color figure can be viewed in the online issue, which is available at wileyonlinelibrary.com.]

no difference between H2 and H3, P > 0.1, 95% CI [-7.7, 10.5] (Fig. 1C, right panel). For the reward type, there was only a significant difference between H1 and L1, t (16) = 2.89, P < 0.05, 95% CI [1.8, 11.7], but not between H2 and L2 or between H3 and L3, both P > 0.1, 95% CI [-9.1, 4.4] and [-5.8, 5.4]. ANOVA on error rates did not show any significant effects, all P > 0.1.

We noticed that the effects (i.e., H1 > H2, H1 > H3) in terms of RTs, as well as in terms of neural activity in the visual cortex (shown in the imaging data below), were not as strong as in the behavioral experiment, although qualitatively the patterns in the two experiments were similar. This reduction in the effect size may be due to scanner noise, vibration and unfamiliar body gestures in the scanner [cf. Anderson et al., 2014]. We believe that the reduction in RT effect sizes have not significantly undermined our main arguments, for these arguments and most of our analyses of neural data were primarily based on the effects between H1 and L1, which were robust in both the behavioral and the fMRI experiments.

# **Imaging Data**

# Reward-based attentional capture in the visual cortex

We first examined whether the effect of reward-based attentional capture in the test phase was manifested in visual cortex. Parameters estimates of the six experimental conditions were extracted from the left and the right extrastriate cortex identified in our localizer task. The 2 (Hemisphere: left vs. right)  $\times$  2 (Reward: high vs. low)  $\times$  3 (Location: 1, 2 vs. 3) ANOVA on the parameter estimates revealed only a significant interaction between reward and location, *F* (2, 32) = 6.20, *P* < 0.01,  $\eta_n^2 = 0.279$ . For the low-

reward distractor, the ANOVA on the location effect showed that the neural activity (collapsed across the left and the right extrastriate cortex) at L1, L2, and L3 did not differ from each other, F (2, 32) = 1.99, p > 0.1, but there was a linear trend, F (1, 16) = 4.32, P = 0.054,  $\eta_p^2 = 0.213$ , with the neural activity increasing from L1 to L2 and to L3. For the high-reward distractor, the location effect was significant, F(2, 32) = 4.05, P < 0.05,  $\eta_p^2 = 0.202$ . Bonferronicorrected comparisons showed that the neural activity was higher at H1 than at H3, P < 0.05, 95% CI [0.0, 0.8]. Although the neural activity at H2 did not differ from neural activity at either H1 or H3, P > 0.1, 95% CI [-0.6, 0.1] and [-0.2, 0.5], respectively, there was a linear trend for the three locations, F (1, 16) = 7.18, P < 0.05,  $\eta_v^2 = 0.310$ , with the neural activity decreasing from H1 to H2 and to H3. For the reward type, the neural activity was higher at H1 than at L1, t (16) = 3.84, P < 0.01, 95% CI [0.2, 0.8], whereas there was no difference between H2 and L2 or between H3 and L3, both

and AI. The VS was defined in the same way as in the resting state. Nine Models with different intrinsic connectivities and modulatory connectivities were constructed and compared, but no model won in the BMS (Fig. 5). This indicated that the reward system may not be extensively involved in rewardbased attentional capture in the test phase.

# Learning-induced changes in spontaneous brain connectivity

For the pre-learning session, the FC between AI and VS did not correlate with the behavioral interference effect in the test phase, left hemisphere: Pearson r = -0.29, P > 0.1, right hemisphere: r = -0.00, P > 0.1 (Fig. 6A). In contrast, for the postlearning session, there were strong positive correlations between FC and the behavioral interference effect, left hemisphere: r = 0.63, P < 0.01, right hemisphere: r = 0.53, P < 0.05 (Fig. 6B). After FC in the pre-learning session had been controlled, the partial correlation analysis still showed positive correlations between the behavioral interference effect and FC in the post-learning session, left hemisphere: r = 0.62, P < 0.05, right hemisphere: r = 0.64, P < 0.01. Moreover, there were also significant positive correlations between the change of FC after learning and the behavioral interference effect, left hemisphere: r = 0.61, P < 0.01, right hemisphere: r = 0.57, P < 0.05 (Fig. 6C). These results suggested that the reward-based attentional capture in the test phase could be predicted by the spontaneous FC between AI and VS after learning.

# DISCUSSION

In this fMRI study, we investigated the neural mechanism of reward-based attention by distinguishing rewardand attention-related signals. We found that IFG, IPS, and the visual cortex are commonly activated in reward-based and stimulus-driven attention, whereas AI was only activated in reward-based attention. Reward enhanced the effective connectivity from the salience network (e.g., AI) to the attention network (e.g., IFG/IPS), but not the connectivity from IFG/IPS to the visual cortex. Moreover, the reward-based attentional effect could be predicted both by the activity in AI and by the spontaneous FC between AI and VS after learning. These results demonstrate the crucial role of AI in instantiating reward-based attention.

# Reward Breaks Through Center-Surround Inhibition in Visual Cortex

The deployment of attention is manifested as facilitated responses to a target or prolonged disengagement from a distractor, accompanied by increased neural activity in the visual cortex [Desimone and Duncan, 1995]. In this study, relative to the low-reward distractor, the high-reward distractor near the target delayed the behavioral response to the target and evoked enhanced neural activity in the vis-



#### Figure 6.

Results of the resting-state fMRI. Scatter plots (with best-fitting regression lines) illustrates the FC strength between the AI and the VS in the pre-learning session (**A**), post-learning session (**B**), and the difference in FC strength between the two sessions (**C**) as a function of the RT difference between HI and LI conditions. Note that for both the behavioral interference effect and the FC strength, all of the individual observations were within  $\pm$  3SD of the group mean.

ual cortex. These results add to a large body of work that highlights the role of reward in attention deployment [Anderson, 2013; Awh et al., 2012; Chelazzi et al, 2013; Wang et al., 2013] and its modulation in the visual cortex [Anderson et al., 2014; Hickey et al., 2010; Serences, 2008].

Previous studies showed that center-surround inhibition prevents the object located in this region from capturing attention [Cutzu and Tsotsos, 2003; Mounts, 2000a] and suppresses the representation of such object in the early visual cortex [Boehler et al., 2009; Hopf et al., 2006). In a recent work [Wang et al., 2014], we showed that the lowreward distractor interfered with the target processing when it was located adjacent to the target (0.9°) but did not cause interference when it was located further away from the target  $(2.1 - 4.5^{\circ})$ . The low-reward distractor in far distances failed to cause interference as a result of the surround inhibition, which emerged around 1.54° from the target [Mounts, 2000b]. In contrast, the high-reward distractor caused interference in both near (0.9°) and far locations (2.1°). However, this interaction between reward and location occurred only when attention was initially focused on the target, for example, when the location of the target was highly predictable, but disappeared when there was no initial attention on the target, for example, when the location of the target was unpredictable [Wang et al., 2014]. Given that the initial attention on the target is indispensable for the formation of surround inhibition [Cutzu and Tsotsos, 2003], the interference effect induced by the high-reward distractor at 2.1° from the target was attributed to a "breakthrough" of the surround inhibition rather than a simple extended interference effect [Wang et al., 2014].

In a similar vein, here we increased the distance ( $\geq 1.6^{\circ}$ ) between the target and the distractor to ensure that the reward-associated distractor fell into this inhibitory region. Results in both the behavioral experiment and the fMRI experiment showed that low-reward distractors induced comparable RTs when they were located in this inhibitory region, whereas high-reward distractor induced increased RTs at H1 than at H2 and H3. Moreover, when data from the two experiments were collapsed, Bonferroni corrected comparisons showed that RTs at H1 was longer than RTs in the other conditions (H2, H3, L1, L2, L3), all P < 0.05, whereas RTs in the other conditions did not differ from each other, all P > 0.1(except for a marginal significance, P = 0.08, when comparing RTs at H3 and L1). These results are consistent with our previous findings [Wang et al., 2014], indicating that only the highreward distractor near the target (H1) captured attention and interfered with target processing. At the neural level, the activity in the extrastriate cortex showed a similar pattern, providing new evidence for the argument that reward could break through the center-surround inhibition [Wang et al., 2014]. In a broader sense, this finding is also in line with the idea that the modulatory effects on visual cortex of top-down attention and the value of stimuli may engage an overlapping neuronal selection mechanism [Maunsell, 2004; Stanisor et al., 2013].

In this study, despite that the RTs at different locations were comparable for the low-reward distractors, neural activity in the early visual cortex showed a linear increase with increasing distance from the target, indicating a recovery from surround inhibition. This recovery effect was also reported in previous studies on surround inhibition [Boehler et al., 2009; Hopf et al., 2006]. According to these studies, the surround inhibition manifests near the attentional focus and attenuates with the increasing distance from the attentional focus. For example, Hopf et al. [2006; 2009] showed that only the stimulus adjacent to the attended target (1.35° in visual

angle) was suppressed in the early visual cortex and the processing of stimulus in the distant locations ( $\geq 2.15^{\circ}$ ) was recovered, even though RTs did not differ between locations. However, in contrast to a robust recovery in Hopf et al. [2006; 2009], the recovery of the surround inhibition for the low distractor in the current study was relatively weak (only a linear trend from 1.6° to 4°). This discrepancy could be attributed to the difference in stimulus arrays. Distractors were located in a single quadrant of the visual space in previous studies [Boehler et al., 2009; Hopf et al., 2006], but were located in both hemispheres in this study. Given that between-hemisphere distraction is more easily to inhibit than within-hemisphere distraction [Alvarez and Cavanagh, 2005; Wei et al., 2013], the inhibitory region across hemispheres might be larger than the region within a hemisphere.

It should be noted that the recovery from surround inhibition in the early visual cortex was observed only for the low-reward but not for high-reward distractor. While neural representation for the low-reward distractor increased linearly from near to far locations, neural representation for the high-reward distractor did not show this pattern and even decreased from H2 to H3. This asymmetry, together with the shorter RTs at H3 relative to L3 in the behavioral experiment, may indicate that another neural and psychological process was taking effect for the highreward distractor, that is, the active suppression of high versus low salient distractor [Geng, 2014]. The active suppression functions to prevent attentional allocation to taskirrelevant stimulus, especially when this stimulus is characterized with high salience [Geng, 2014; Sawaki et al., 2012]. In this study, because the target location was fixed and a singleton distractor was present in every trial, the active suppression mechanism was triggered to suppress the distractor; this suppression could be more powerful for the distractor with high reward-based salience compared with the distractor with low reward-based salience. The electrophysiological index of this active suppression was observed when the reward-associated distractor was rapidly rejected, demonstrating the active suppression of the reward-associated distractor [Qi et al., 2013; Sawaki et al., 2015]. Given that the strength of this active suppression is determined by the representational distance between the target and the distractor [Geng, 2014], the distractor located far from the target (H3, H2) is more effectively suppressed than the distractor near the target (H1). In this way, although the distractor at H1 "broke through" the surround inhibition, the distractors at H2 and H3 suffered from active suppression and did not receive adequate representation in the extrastriate cortex.

# AI As the Source of Reward-based Salience in No-Reward Context

Previous studies did not draw consistent conclusions regarding the neural basis of reward-based attention. In an event-related potential study, Hickey et al. [2010] showed that the effect of attentional orienting to reward-associated stimulus correlated with the amplitude of medial frontal negativity (MFN) that could be localized at the anterior cingulate cortex (ACC). The activity in ACC also correlated with the attentional orienting effect, leading to the argument that reward increases salience by the mediation of ACC. However, given that MFN was locked on the reward feedback, it is not clear whether the activity in ACC reflected the sensitivity to reward feedback [Gehring and Willoughby, 2002; Yu et al., 2011] or the source of reward-based salience. In this study, no reward was provided during the test phase and any observed activity cannot be attributed to the neural processing of reward feedback. Given that stimulus-driven attention was dissociated from reward-based attention, the activity in AI cannot be conceived as controlling attentional orienting, but should be interpreted as representing the salience of the reward-associated distractor. This notion was confirmed by the DCM that salience (reward magnitude) of the distractor exerted a causal influence on the effective connectivity from AI to IFG/IPS.

AI has been identified to be a critical node of the "salience network", which detects salient external and interoceptive events/states [Craig, 2009; Seeley et al., 2007; Uddin, 2015]. Consistent with this proposal, AI responds to both rewardrelated events [Lee and Shomstein, 2013; Pessoa and Engelmann, 2010] and threatening information [Choi et al., 2012; Dalton et al., 2005]. The activation of AI was also observed in "contingent attentional capture," where a distractor causes interference when it shares certain features with the target [Serences et al., 2005]. The common engagement of AI in such situations suggests that AI contributes to be a neural site for representing subjective salience acquired in the past selection history [Awh et al., 2012; Uddin, 2015].

In addition to the causal role of AI in projecting subjective salience to the attentional orienting network, the this attention is controlled by the interaction between the dorsal and ventral networks [Vossel et al., 2012]. Second, although TPJ is part of the ventral network, it is not always activated in studies investigating stimulus-driven attention. For example, Kincade et al. [2005] found that TPJ did not exhibit greater response to salient task-irrelevant color singleton than to other stimuli. The authors thus claimed that TPJ is involved only in involuntary shift to behaviorally relevant stimuli. A related argument was that a filter determines the input to TPJ and whether a distractor in visual search could pass through this filter depends on whether it meets the definition of the target [Shulman et al., 2003]. It is possible that the task-irrelevant distractor in this study could not pass through this filter and thus could not activate TPJ.

One might also argue that the attentional network revealed through the distance effect [H1 vs. Mean (H2 + H3)] was not exclusively responsible for stimulusdriven attention since the stimuli at the three locations were all reward-related and reward may have differential impacts upon the processing of the stimuli at different locations. Although we could not completely rule out this possibility, we believe that the frontoparietal network revealed in the contrast subserved at least the attentional orienting to the reward-associated distractor. First, this network accords well with the stimulus-driven network revealed in previous studies with stimuli presented in a no-reward context [Vossel et al., 2012]. Second, according to our DCM, the effective connectivity from this network to the visual cortex was not mediated by reward, excluding a role of this network in representing reward information. Last, the involvement of AI in the reward-based effect but not in the distance effect additionally confirmed the functional dissociation between the frontoparietal network and AI.

#### CONCLUSION

Our results revealed distinct roles of AI and the stimulus-driven network in reward-based attention. Associating rewarding information to a stimulus increases the salience of that stimulus and this reward-based salience is represented in AI, which projects this information onto the stimulus-driven attentional network and enables the reward-associated distractor to break through the centersurround inhibition in the visual cortex.

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